

Congress of the United States
House of Representatives
Washington, DC 20515-4801

HAND DELIVERED

June 29, 2012

Hon. Karen L. Haas
Clerk of the U.S. House of Representatives
Legislative Resource Center
Room B106, Cannon House Office Building
Washington, DC 20515-6601

Dear Ms. Haas:

In response to a June 8, 2012 letter requesting additional information, I have attached amended 2011 and 2010 Personal Financial Disclosures. The amendments include changes to Schedule III, showing underlying assets for a 401k.

Please let me know if your office requires any additional information on these items. We sincerely believe that all assets are properly reported now.

Respectfully,



LEGISLATIVE RESOURCE CENTER
2012 JUL -2 PM 4:41
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
✓
MC

HAND DELIVERED

UNITED STATES HOUSE OF REPRESENTATIVES
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT

FORM A Page 1 of 25
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2012 JUL -2 PM 4:41

David Bennett McKinley
(Full Name)

202-225-4172
(Daytime Telephone)

OFFICE OF THE CLERK
LEGISLATIVE RESOURCE CENTER
(Office Use Only)

Handwritten initials

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: WV District: 1	<input type="checkbox"/> Officer Or Employee	Employing Office:	A \$200 penalty shall be assessed against anyone who files more than 30 days late.
	Report Type	<input type="checkbox"/> Annual (May 15)	<input checked="" type="checkbox"/> Amendment	<input type="checkbox"/> Termination	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE I - EARNED INCOME

Name David Bennett McKinley

Page 2 of 25

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
McKinley & Associates, Wheeling, W	Salary- McKinley Salary- Spouse	\$230,000
Critical Connections LLC, Wheeling, WV	Spouse- Member LLC- salary Interest	18673.00 16.00
Ohio Valley Health Services & Education, Wheeling, WV	Spouse- Salary	\$8,743.57

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Bennett McKinley

Page 3 of 25

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year. Provide complete names of stocks and mutual funds (do not use ticker symbols.) For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period. For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); non-financial entities; SEC 509 or 501(c)(3) in a personal residence or	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as Income. Check "None" if the asset generated no income during the reporting period.	BLOCK D Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as Income. Check "None" if no income was earned or generated.	BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
JT 3M Company	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT Amdocs	None	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	S
JT American Funds EuroPacifac Growth Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
JT American Funds EuroPacific Growth Fund	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	PS(part)
JT Apache	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S(part)
JT Apple	\$15,001 - \$50,000	CAPITAL GAINS	\$2,501 - \$5,000	S(part)

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Bennett McKinley

JT	Artisan international Value Fund	\$100,001 - \$250,000	DIVIDENDS	\$1,001 - \$2,500	P
	Artisan International Value Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	AT&T	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
JT	Best Buy	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Blackrock Global Allocation Fund	None	DIVIDENDS	\$201 - \$1,000	PS
	Blackrock Global Allocation Fund	None	DIVIDENDS	\$1 - \$200	S
JT	Cisco Systems	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	S(part)
	Columbia Dividend Income Fund	None	Tax Deferred	NONE	
JT	DEAB LLC II, rental Property, 2001 Main St, Wheeling, WV	\$500,001 - \$1,000,000	RENT/INTEREST	\$1,001 - \$2,500	
JT	DEAB LLC, Rental property, Maxwell Bldg, 32 20th St, Wheeling, WV	\$500,001 - \$1,000,000	RENT/INTEREST	\$15,001 - \$50,000	
	Dodge & Cox Income Fund	None	DIVIDENDS	\$1 - \$200	S
JT	Dodge & Cox Income Fund	None	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	PS
JT	EMC	\$15,001 - \$50,000	DIVIDENDS	NONE	P
JT	Fidelity Advisor Small Cap Fund	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	PS

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Bennett McKinley

Page 5 of 25

SP	Fidelity Cash Reserves	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	Fidelity Cash Reserves	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
JT	Fidelity Cash Reserves	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
	Fidelity New Markets Income Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	Fidelity Select Money Market	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
JT	Fidelity Select Tax Free Money Market	\$50,001 - \$100,000	INTEREST	\$1 - \$200	
JT	First Trust AMEX Biotechnology ETF	\$15,001 - \$50,000	DIVIDENDS	NONE	
JT	FMI Common Stock	\$50,001 - \$100,000	CAPITAL GAINS/DIVIDENDS	\$2,501 - \$5,000	PS(part)
	FMI Common Stock	\$1,001 - \$15,000	CAPITAL GAINS	NONE	
JT	General Electric	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S(part)
JT	Hewlett-Packard	None	DIVIDENDS	\$1 - \$200	PS
	Hussman Strategic Total Return Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Hussman Strategic Total Return Fund	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	P
	I Shares Invest Grade Corp Bond ETF	None	DIVIDENDS	\$1 - \$200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Bennett McKinley

Page 6 of 25

	Ishares Russell Midcap Value ETF	None	DIVIDENDS	\$1 - \$200	S
JT	ITT	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	PS(part)
	IVA Worldwide Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	IVA Worldwide Fund	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	PS(part)
JT	Johnson & Johnson	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S(part)
JT	JPMorgan Chase	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
JT	Leuthold Asset Allocation Fund	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	PS
	Leuthold Asset Allocation Fund	None	DIVIDENDS	\$1 - \$200	S
	Leuthold Global Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	Leuthold Global Fund	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	P
JT	Lincoln National Life Ins Cash Value	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	Main Street Financial Services	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
	Main Street Financial Services	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
JT	MainStay Floating Rate Fund	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Bennett McKinley

Page 7 of 25

	MainStay Floating Rate Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	McDonalds	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	McKinley & Associates ESOP Note Receivable (Wheeling, WV)	\$500,001 - \$1,000,000	INTEREST	\$15,001 - \$50,000	S(part)
	McKinley For Congress Campaign Loan Receivable	\$250,001 - \$500,000	None	NONE	E
	McKinley & Associates(Architectural/Engineering Firm- 70% Ownership, Wheeling, WV	\$1,000,001 - \$5,000,000	DIVIDENDS	NONE	
	Morgan Stanley Instl Mid Cap Growth Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Morgan Stanley Instl Mid Cap Growth Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	Nucor	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
	Oakmark International Fund	None	Tax Deferred	NONE	
JT	Oppenheimer Developing Markets Fund	\$50,001 - \$100,000	DIVIDENDS	\$201 - \$1,000	P
	Oppenheimer Developing Markets Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	Peabody Energy	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
SP	Pension Plan of Ohio Valley Health Services and Education Corp- Not Self Directed	\$50,001 - \$100,000	Tax Deferred	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Barnett McKinley

Page 8 of 25

JT	Pepsico	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Perkins Small Cap Value Fund	\$100,001 - \$250,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	PS(part)
	Perkins Small Cap Value Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
JT	Pfizer	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	P
JT	Phillip Morris International	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
	Pimco All Asset All Authority Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	PIMCO All Asset All Authority Fund	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P
	PIMCO Total Return Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	S(part)
	PIMCO Total Return Fund	\$100,001 - \$250,000	Tax Deferred	NONE	
SP	Preston, WV, Preston County Timberland, 90 acres co owned with 5 others(Siblings and extended family)	\$15,001 - \$50,000	Other: (Periodic Timber sales)	NONE	S(part)
JT	Proctor & Gamble	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
JT	Public Storage	\$1,001 - \$15,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	S(part)
SP	Russell LifePoints Growth Strategy Fund	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Bennett McKinley

Page 9 of 25

	Symons Value Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	T Rowe Price Blue Chip Growth Fund	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$2,501 - \$5,000	S(part)
	T Rowe Price Blue Chip Growth Fund	\$50,001 - \$100,000	Tax Deferred	NONE	
	T Rowe Price Blue Chip Growth Fund	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
	T Rowe Price International Growth and Income Fund	\$1,001 - \$15,000	Tax Deferred	NONE	
	T Rowe Price Mid-Cap Growth Fund	\$15,001 - \$50,000	Tax Deferred	NONE	
	T Rowe Price Small-Cap value Fund	\$15,001 - \$50,000	Tax Deferred	NONE	
	T Rowe Price Stable Value Fund	\$100,001 - \$250,000	Tax Deferred	NONE	
	T Rowe Price Value Fund	\$100,001 - \$250,000	Tax Deferred	NONE	
SP	T Rowe Spectrum International Fund	\$100,001 - \$250,000	Tax Deferred	NONE	
	The Savings Plan of Ohio Valley Health Services and Education Corp- Not Self Directed				
JT	The Travelers Corporation	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	P
JT	Unit Corporation	\$15,001 - \$50,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Bennett McKinley

Page 10 of 25

JT	Vanguard Financials ETF	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	S(part)
JT	Vanguard High-Yield Tax-Exempt Fund	\$50,001 - \$100,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	S(part)
JT	Vanguard Intl Protect Securities Fund	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S
JT	Vanguard Inter-term Tax Exempt Fund	\$100,001 - \$250,000	DIVIDENDS/CAPITAL GAINS	\$15,001 - \$50,000	PS(part)
JT	Vanguard Limited-Term Tax-Exempt Fund	\$100,001 - \$250,000	DIVIDENDS/CAPITAL GAINS	\$5,001 - \$15,000	PS(part)
	Vanguard MegaCap 300 Value Index ETF	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	Vanguard Utilities ETF	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S
	Vanguard Windsor II Fund	None	DIVIDENDS/CAPITAL GAINS	\$1 - \$200	PS
	Ventas	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	P
JT	Ventas	\$15,001 - \$50,000	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	P
JT	Visa	None	DIVIDENDS/CAPITAL GAINS	\$1,001 - \$2,500	S
	Wasatch 1st Source Long/Short Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	P
JT	Wasatch 1st Source Long/Short Fund	\$100,001 - \$250,000	DIVIDENDS	\$1 - \$200	P
JT	Wells Fargo CPI/CD Note due 4/2012	\$50,001 - \$100,000	INTEREST	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Bennett McKinley

Page 11 of 25

	Wells Fargo CPI/CD Notedue 4/2012	\$1,001 - \$15,000	INTEREST	NONE	
JT	Wesco International	\$15,001 - \$50,000	DIVIDENDS/INTE REST	\$1,001 - \$2,500	P
JT	Western Digital	None	DIVIDENDS	NONE	PS
JT	WisdomTree Dividend Ex- Financials Fund	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	P

SCHEDULE IV - TRANSACTIONS

Name David Bennett McKinley

Page 12 of 25

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	Amdocs	S	Yes	12/22/10	\$1,001 - \$15,000
JT	American Funds Europacific Growth Fund	P	N/A	3/8/10	\$1,001 - \$15,000
	American Funds EuroPacific Growth Fund	S(part)	No	7/22/10	\$1,001 - \$15,000
JT	American Funds Europacific Growth Fund	S(part)	No	04/29/10	\$15,001 - \$50,000
JT	American Funds Europacific Growth Fund	S	No	06/18/10	\$1,001 - \$15,000
	American Funds EuroPacific Growth Fund	S(part)	No	5/6/10	\$1,001 - \$15,000
JT	American Funds EuroPacific Growth Fund	P	N/A	1/7/10	\$15,001 - \$50,000
JT	American Funds Europacific Growth Fund	S(part)	No	07/21/10	\$15,001 - \$50,000
JT	American Funds Europacific Growth Fund	S(part)	No	07/06/10	\$1,001 - \$15,000
JT	American Funds EuroPacific Growth Fund	S(part)	No	06/24/10	\$1,001 - \$15,000
JT	American Funds Europacific Growth Fund	S(part)	No	05/06/10	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

Name David Bennett McKinley

Page 13 of 25

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	American Funds EuroPacific Growth Fund	S(part)	No	09/23/10	\$15,001 - \$50,000
JT	Apache	S(part)	Yes	03/08/10	\$1,001 - \$15,000
JT	Apple	S(part)	Yes	04/19/10	\$1,001 - \$15,000
JT	Artisan International Value Fund	P	N/A	5/12/10	\$1,001 - \$15,000
	Artisan International Value Fund	P	N/A	5/13/10	\$1,001 - \$15,000
JT	Artisan International Value Fund	P	N/A	1/7/10	\$15,001 - \$50,000
JT	AT&T	P	N/A	6/24/10	\$15,001 - \$50,000
JT	Blackrock Global Allocation Fund	S	No	09/23/10	\$50,001 - \$100,000
JT	Blackrock Global Allocation Fund	S(part)	No	04/27/10	\$1,001 - \$15,000
	Blackrock Global Allocation Fund	S	No	9/17/10	\$1,001 - \$15,000
JT	Blackrock Global Allocation Fund	P	N/A	2/4/10	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name David Bennett McKinley

Page 14 of 25

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
JT	Cisco Systems	S(part)	Yes	05/12/10	\$1,001 - \$15,000
	Dodge & Cox Income Fund	P	N/A	2/4/10	\$1,001 - \$15,000
JT	Dodge & Cox Income Fund	S	Yes	09/23/10	\$50,001 - \$100,000
JT	Dodge & Cox Income Fund	P	N/A	5/13/10	\$50,001 - \$100,000
	Dodge & Cox Income Fund	S	No	9/17/10	\$1,001 - \$15,000
JT	EMC	P	N/A	9/23/10	\$15,001 - \$50,000
JT	Fidelity Advisor Small Cap Fund	P	N/A	3/8/10	\$15,001 - \$50,000
JT	Fidelity Advisor Small Cap Fund	P	N/A	2/25/10	\$1,001 - \$15,000
JT	Fidelity Advisor Small Cap Fund	S	Yes	09/23/10	\$15,001 - \$50,000
JT	Fidelity Advisor Small Cap Fund	S(part)	No	06/24/10	\$1,001 - \$15,000
	Fidelity New Markets Income Fund	P	N/A	9/17/10	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name David Bennett McKinley

Page 15 of 25

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	FMI Common Stock	S(part)	Yes	05/12/10	\$15,001 - \$50,000
JT	FMI Common Stock	S(part)	Yes	04/27/10	\$15,001 - \$50,000
JT	FMI Common Stock	P	N/A	12/22/10	\$1,001 - \$15,000
JT	FMI Common Stock	P	N/A	1/7/10	\$1,001 - \$15,000
JT	General Electric	S(part)	Yes	04/27/10	\$1,001 - \$15,000
JT	Hewlett-Packard	S	No	09/23/10	\$15,001 - \$50,000
JT	Hewlett-Packard	P	N/A	7/21/10	\$15,001 - \$50,000
JT	Hussman Strategic Total Return	P	N/A	2/25/10	\$1,001 - \$15,000
	I Shares Invest Grade Corp Bond ETF	S	No	2/3/10	\$1,001 - \$15,000
	Ishares Russell Midcap Value ETF	S	No	5/13/10	\$1,001 - \$15,000
JT	ITT	S(part)	Yes	5/12/10	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name David Bennett McKinley

Page 16 of 25

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	ITT	S(part)	Yes	4/27/10	\$1,001 - \$15,000
JT	ITT	P	N/A	1/7/10	\$1,001 - \$15,000
JT	IVA Worldwide Fund	P	N/A	2/4/10	\$1,001 - \$15,000
	IVA Worldwide Fund	P	N/A	9/20/10	\$1,001 - \$15,000
JT	IVA Worldwide Fund	S(part)	No	04/27/10	\$1,001 - \$15,000
JT	Johnson & Johnson	S(part)	No	4/27/10	\$1,001 - \$15,000
JT	Johnson & Johnson	S(part)	Yes	5/12/10	\$1,001 - \$15,000
JT	Leuthold Asset Allocation Fund	S	Yes	09/24/10	\$15,001 - \$50,000
JT	Leuthold Asset Allocation Fund	S(part)	Yes	4/30/10	\$1,001 - \$15,000
JT	Leuthold Asset Allocation Fund	P	N/A	1/7/10	\$15,001 - \$50,000
	Leuthold Asset Allocation Fund	S	No	9/20/10	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name David Bennett McKinley

Page 17 of 25

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	Leuthold Global Fund	P	N/A	9/24/10	\$50,001 - \$100,000
	Leuthold Global Fund	P	N/A	9/24/10	\$1,001 - \$15,000
JT	MainStay Floating Rate Fund	P	N/A	5/13/10	\$100,001 - \$250,000
	McKinley & Associates ESOP Note Receivable	E	N/A	12/30/10	\$250,001 - \$500,000
	McKinley For Congress Campaign Loan Receivable	E	N/A	Various 2010	\$500,001 - \$1,000,000
JT	Morgan Stanley Instl Mid Cap Growth Fund	P	N/A	9/23/10	\$1,001 - \$15,000
	Oppenheimer Developing Markets Fund	P	N/A	7/22/10	\$1,001 - \$15,000
JT	Oppenheimer Developing Markets Fund	P	N/A	7/22/10	\$15,001 - \$50,000
	Oppenheimer Developing Markets Fund	P	N/A	9/17/10	\$1,001 - \$15,000
JT	Oppenheimer Developing Markets Fund	P	N/A	9/23/10	\$15,001 - \$50,000
JT	Perkins Small Cap Value Fund	P	N/A	1/7/10	\$1,001 - \$15,000

SCHEDULE IV - TRANSACTIONS

Name David Bennett McKinley

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain In Excess of \$200?	Date	Amount of Transaction
JT	Perkins Small Cap Value Fund	S(part)	No	1/7/10	\$1,001 - \$15,000
JT	Pfizer	P	N/A	1/7/10	\$1,001 - \$15,000
	Pimco All Asset All Authority Fund	P	N/A	9/17/10	\$1,001 - \$15,000
JT	PIMCO All Asset All Authority Fund	P	N/A	12/22/10	\$15,001 - \$50,000
	PIMCO Total Return Fund	S(part)	No	9/17/10	\$1,001 - \$15,000
SP	Preston, WV, Preston County Timberland, 90 acres co owned with 5 others(Siblings and extended family)	S(part)	Yes	9/8/10	\$1,001 - \$15,000
JT	Public Storage	S(part)	Yes	05/12/10	\$1,001 - \$15,000
	Symons Value Fund	P	N/A	11/5/10	\$1,001 - \$15,000
JT	T Rowe Price Blue Chip Growth Fund	P	N/A	2/25/10	\$1,001 - \$15,000
JT	T Rowe Price Blue Chip Growth Fund	S(part)	Yes	04/29/10	\$15,001 - \$50,000
JT	T Rowe Price Blue Chip Growth Fund	S(part)	No	07/21/10	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

Name David Bennett McKinley

Page 19 of 25

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	T Rowe Price Blue Chip Growth Fund	S(part)	No	07/06/10	\$1,001 - \$15,000
JT	T Rowe Price Blue Chip Growth Fund	S(part)	Yes	06/18/10	\$1,001 - \$15,000
JT	T Rowe Price Blue Chip Growth Fund	P	N/A	1/7/10	\$15,001 - \$50,000
JT	The Travelers Corporation	P	N/A	9/23/10	\$15,001 - \$50,000
JT	Vanguard Financials ETF	S(part)	Yes	4/27/10	\$15,001 - \$50,000
JT	Vanguard Financials ETF	S(part)	Yes	3/8/10	\$1,001 - \$15,000
JT	Vanguard High-Yield Tax-Exempt Fund	S(part)	Yes	10/22/10	\$15,001 - \$50,000
JT	Vanguard Intl Protect Securities Fund	S	Yes	04/29/10	\$15,001 - \$50,000
JT	Vanguard Intl Protect Securities Fund	P	N/A	4/1/10	\$1,001 - \$15,000
JT	Vanguard Interm-term Tax Exempt Fund	S(part)	Yes	10/22/10	\$15,001 - \$50,000
JT	Vanguard Interm-term Tax Exempt Fund	S(part)	Yes	5/12/10	\$100,001 - \$250,000

SCHEDULE IV - TRANSACTIONS

Name David Bennett McKinley

Page 20 of 25

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	Vanguard Intern-term Tax Exempt Fund	S(part)	Yes	7/6/10	\$15,001 - \$50,000
JT	Vanguard intern-term tax Exempt Fund	S(part)	Yes	9/28/10	\$15,001 - \$50,000
JT	Vanguard Intern-term Tax Exempt Fund	S(part)	Yes	4/27/10	\$15,001 - \$50,000
JT	Vanguard Intern-term Tax Exempt Fund	P	N/A	1/7/10	\$50,001 - \$100,000
JT	Vanguard Intern-term Tax Exempt Fund	S(part)	Yes	6/24/10	\$15,001 - \$50,000
JT	Vanguard Limited-term tax Exempt Fund	P	N/A	4/1/10	\$1,001 - \$15,000
JT	Vanguard Limited-Term Tax exempt Fund	S(part)	No	6/24/10	\$15,001 - \$50,000
JT	Vanguard Limited-Term Tax Exempt Fund	S(part)	Yes	5/12/10	\$50,001 - \$100,000
JT	Vanguard Limited-term Tax Exempt Fund	P	N/A	1/7/10	\$50,001 - \$100,000
JT	Vanguard Limited-Term Tax-Exempt Fund	S(part)	Yes	10/22/10	\$15,001 - \$50,000
JT	Vanguard Limited-Term Tax-Exempt Fund	S(part)	Yes	7/6/10	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

Name David Bennett McKinley

Page 21 of 25

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Vanguard MegaCap 300 Value Index ETF	P	N/A	11/5/10	\$1,001 - \$15,000
JT	Vanguard Utilities ETF	S	Yes	03/08/10	\$1,001 - \$15,000
	Vanguard Windsor II Fund	P	N/A	5/13/10	\$1,001 - \$15,000
	Vanguard Windsor II Fund	S	No	11/4/10	\$15,001 - \$50,000
	Ventas	P	N/A	2/3/10	\$1,001 - \$15,000
JT	Ventas	P	N/A	2/4/10	\$15,001 - \$50,000
JT	Visa	S	Yes	9/23/10	\$15,001 - \$50,000
	Wasatch 1st Source Long/Short Fund	P	N/A	9/17/10	\$1,001 - \$15,000
	Wasatch 1st Source Long/Short Fund	P	N/A	5/13/10	\$1,001 - \$15,000
JT	Wasatch 1st Source Long/Short Fund	P	N/A	9/23/10	\$15,001 - \$50,000
JT	Wasatch 1st Source Long/Short Fund	P	N/A	5/12/10	\$15,001 - \$50,000

SCHEDULE IV - TRANSACTIONS

Name David Bennett McKinley

Page 22 of 25

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	Wesco International	P	N/A	6/24/10	\$15,001 - \$50,000
JT	Western Digital	P	N/A	3/8/10	\$15,001 - \$50,000
JT	Western Digital	S	No	09/23/10	\$1,001 - \$15,000
JT	WisdomTree Dividend Ex-Financials Fund	P	N/A	1/7/10	\$1,001 - \$15,000

SCHEDULE VIII - POSITIONS

Name David Bennett McKinley

Page 23 of 25

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	McKinley & Associates, Inc
President	Northwood Art Glass Co
Partner/Member	Maxwell Center, LLC Maxwell Partners, LLC
Partner/Member	DEAB, LLC DEAB, LLC II
Board Member	West Virginia Independence Hall Foundation
Trustee	Sandscrest Board of Trustees
Commissioner	West Virginia Capital Building Commission

SCHEDULE IX - AGREEMENTS

Name David Bennett McKinley

Page 24 of 25

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
8/22/08	McKinley & Associates ESOP Trust, David B McKinley	ESOP purchased 30% of the shares of McKinley & Associates and have a formal loan agreement to pay minimum of @21,381.33/Qttr at Prime +1%, maturity date of 6/30/18

FOOTNOTES

Name David Bennett McKinley

Page 25 of 25

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Traditional financial investments are primarily managed by a third party investment manager who has discretion for trades. Most of the traditional investments not held jointly with spouse, are in Filer's IRA account.	
2	Schedule IV	See Footnote 1 above	